

TEQSA Provider ID PRV14376 • CRICOS Provider Code 04294D

# **Student Grievance Policy and Procedure**

Approved by the Board of Directors on 23 June 2022

1.	F	Purpose3		
2. Scope			3	
3.	F	Policy		
	3.1	Policy statement	3	
	3.2	Principles	4	
4.	F	Procedure		
	4.1	Grievance avenues	4	
	4.2	Informal resolution	5	
	4.3	Formal Grievances	5	
	4.4	Minor Grievances	5	
	4.5	Major Grievance	5	
4.6		Procedural fairness and equitable resolution	6	
	4.7	Outcomes of grievance processes	6	
	4.8	Further action	6	
5.	P	peals6		
6.	A	Approval publication and training		
7.	F	Record keeping and confidentiality		
8.	. Reporting			
9.	. Responsibilities			
1(	D. Definitions			
11		Version history	8	
12	<u>)</u> .	Additional Information	8	
13	}	Annendix 1 I Overview of Grievance Management Process	10	

IONA TRINITY COLLEGE OF HIGHER EDUCATION	

# 1. Purpose

The purpose of this Policy and Procedure is to outline the principles and processes for the handling of student grievances at Iona Trinity College of Higher Education (the College).

**Appendix 1** to this Policy and Procedure includes a flow chart which visualises the grievance process and procedure.

## 2. Scope

This Policy and Procedure applies to:

- prospective students
- currently enrolled students
- individuals engaged in providing services to the College, such as contractors or consultants, or visitors on campus at the time of an incident.

This grievance process should only be used by students to raise concerns about the quality of their experience at the College or issues in relation to standards, behaviour or process.

A number of issues are outside the scope of this policy and governed by other relevant policies and procedures, which are highlighted in Table 1.

Table 1. Other dispute or resolution processes

Issue	Policy and Procedure
Academic grievance relating to	Academic Progression Policy and Procedure
academic progress	
Academic grievance relating to academic integrity	Student Academic Integrity Policy and Procedure
Academic grievance relating to assessment	Assessment Review Policy and Procedure
Sexual assault or harassment	Sexual Assault and Harassment Policy and Procedure
Student refunds	Refund Policy and Procedure
Student Misconduct	Student Misconduct Policy and Procedure
Decisions made by the College arising from other student-related policies	Student Appeals Policy and Procedure
Outcomes of grievances	Student Appeals Policy and Procedure

# 3. Policy

#### 3.1 Policy statement

This Policy and Procedure recognises that effective grievance management:

- contributes to an improved educational environment for students, and
- assists the College to improve the student experience by identifying areas of risk and areas for improvement.

This Policy and Procedure aims to establish transparent, equitable and timely principles and processes for the resolution of student grievances.

## 3.2 Principles

Grievance management at the College:

- will be guided by ethical and equitable practices
- encourage resolution at the local level where possible
- respectful of all parties
- procedurally fair
- transparent
- · timely and effective, and
- · consistent.

The College aims to ensure that:

- a culture is developed that views grievances as an opportunity to improve the organisation and how it works
- the grievance-handling system is student-focussed and helps the College to prevent grievances from recurring
- grievances are resolved promptly, objectively, and with sensitivity and confidentiality
- the views of each complainant and respondent are respected and that any party to a grievance is not discriminated against nor victimised, and
- there are consistent responses to grievances.

## 4. Procedure

### 4.1 Grievance avenues

Students are encouraged to seek assistance and support from Student Services before they take action in relation to a grievance.

Grievances may be resolved by:

- informal local discussion and resolution, and/or
- submission of a formal grievance.

Where appropriate and safe to do so, complainants are encouraged to attempt to resolve matters at the local level.

Resolution of grievances related to any of the following matters should not be attempted informally:

- violence
- fraud, corruption or malpractice
- · discrimination, vilification or victimisation.

#### 4.2 Informal resolution

Students are encouraged, wherever possible, to resolve concerns or difficulties directly with the person(s) concerned.

Students should identify causes and desired outcomes before they enter into discussions with the person concerned.

When a staff member becomes aware of a student grievance, they should endeavour to resolve the complaint to the satisfaction of all parties wherever possible.

In the process of resolving a grievance the staff member may consult senior staff members for advice and may direct the student to appropriate support services where required.

### 4.3 Formal Grievances

Students may elect to lodge a formal grievance where their issue is not suitable for informal resolution or where an attempt at informal resolution has not produced a satisfactory outcome.

Formal grievances must be submitted in writing to the Student Services Manager at the College or via email to *info* @iona.edu.au, by completing the Student Grievance and Appeal Form available at the College and the website at *itc.edu.au*. Receipt of the grievance will be acknowledged within five (5) working days and all reasonable measures will be taken to finalise the process as soon as practicable.

The Student Services Manager or their nominee will determine the nature of the grievance. Where a grievance is particularly complex, serious or sensitive the Student Services Manager may refer the grievance to the Principal for action.

### 4.4 Minor Grievances

Where a grievance is determined to be minor, the Student Services Manager or their nominee will then, if necessary, seek to clarify the outcome that the complainant hopes to achieve and may also seek a response from any respondent implicated.

Such clarification may be sought by written or verbal request or by a face-to-face interview with the complainant or respondent. When such clarification occurs in a face-to-face interview the complainant or respondent may ask another person to accompany them.

The Student Services Manager or their nominee will then endeavour to resolve the grievance through appropriate actions or recommendations to senior staff where appropriate.

Within ten (10) working days of a determination the Student Services Manager will provide a letter to the complainant on the steps taken to address the grievance, the decisions and the reasons, and their right to appeal

### 4.5 Major Grievance

In circumstances where a grievance has been referred due to its seriousness, complexity or sensitivity and is considered major grievance, the Principal will appoint either a senior manager who has no relationship to the complaint, or another independent person, to investigate the circumstances of the grievance and prepare a report detailing their findings and recommendations for resolution.

#### IONA TRINITY COLLEGE OF HIGHER EDUCATION

Upon receipt of the report from the senior manager or independent person, the Principal will within ten (10) working days:

- examine the report to ensure the findings and recommendations from the investigation are relevant to the student's grievance, and are based on evidence;
- determine the recommendations that will be implemented; and
- provide a letter to the complainant advising of the outcome of the investigation, the steps taken to address the grievance, the decision and the reasons for the decision and their right to appeal.

## 4.6 Procedural fairness and equitable resolution

During all stages of grievance handling the College will take all steps to ensure that:

- each party to a grievance has an opportunity to formally present his or her case and to be accompanied and assisted by a support person at any relevant meetings;
- a full explanation in writing for decisions and actions taken as part of the process will be provided to the complainant and any respondent where applicable;
- where the resolution of a grievance results in a decision that supports the complainant, the College will immediately implement any decision and/or corrective and preventative action required and advise the complainant of the outcome;
- There is no cost to the complainant for using grievance processes.

## 4.7 Outcomes of grievance processes

Where the outcome of any grievance process supports the student, the College will implement the decision or corrective action as soon as possible and advise students accordingly.

#### 4.8 Further action

The procedures set out in this document do not replace or modify procedures or any other responsibilities which may arise under other policies or under statute or any other law. Nothing in this Policy and Procedure limits the rights of individuals to take action under Australia's Consumer Protection laws.

Also, these procedures do not circumscribe an individual's rights to pursue other legal remedies.

# 5. Appeals

Where students are not satisfied with the outcome of a grievance, they may access the internal and external appeals processes outlined in the *Student Appeals Policy and Procedure*.

# 6. Approval publication and training

This Policy and Procedure will be made available to students and prospective students through publication in the Student Handbook and on the College's website.

This Policy and Procedure will form part of the staff induction process.

# 7. Record keeping and confidentiality

A written record of all grievances handled under this procedure and their outcomes shall be maintained for a period of at least five (5) years in the *Grievance and Appeals Register*. All parties may seek appropriate access to these records upon written request to the Student Services Manager.

All records relating to grievances will be treated as confidential and are covered by the College's *Personal Information and Privacy Policy and Procedure*.

## 8. Reporting

The Student Services Manager will prepare a report each year analysing data from student grievances for submission to the Board of Directors. The report will include any recommendations for improvements to College services and operations on the basis of any identified trends.

The Board of Directors will approve recommendations as appropriate and allocate any necessary budget and responsibility for implementation.

# 9. Responsibilities

The College adopts a case management approach to grievance handling. The nature of the concerns raised will determine which area of the College takes primary case management responsibility.

Communication between internal areas may then be required (for example, where grievances are between students and staff; or where grievances have a number of component allegations).

In the first instance, the Student Services Manager is responsible for:

- acknowledging receipt of formal grievances lodged by students;
- establishing the complexity of student grievances and referring matters to relevant personnel for further consideration;
- · resolving minor grievances;
- maintaining a record of all grievances in the Student Grievance and Appeals Register;
- preparing an annual Grievance and Appeals Report.

The Principal is responsible for resolving serious or complex complaints, and ensuring any recommendations arising are implemented.

The Board of Directors is responsible for ensuring that any systemic issues arising from observed trends in grievances and appeals are addressed and monitored.

#### 10. Definitions

For the purposes of this Policy:

Appeal	An action taken when students wish to challenge the outcome of a grievance or a decision made by the College in defined circumstances
--------	---

Complainant	The student who is taking action in relation to a grievance under this Policy		
Grievance	A student's expression of dissatisfaction with any aspect of the College's services and activities, such as:		
	<ul> <li>the enrolment, induction/orientation process;</li> </ul>		
	<ul> <li>the quality of education provided;</li> </ul>		
	<ul> <li>handling of personal information and access to personal records;</li> </ul>		
	<ul> <li>attitude and behaviour of academic or administrative staff; or</li> </ul>		
	<ul> <li>the way someone has been treated including victimisation or bullying, discrimination, (non-sexual) harassment, vilification and any matter that arises from a perception of unfair or inappropriate treatment.</li> </ul>		
Respondent	A person against whom a grievance has been lodged, formal or informal		
Student Any reference to a student mean a currently enrolled student as well as prospective student (e.g. applicants for admission into the College's course)			

# 11. Version history

Version #	Changes	Approval Body	Approval Date
1.0	Original version	Board of Directors	23/06/22
1.1	Inserted contact information and application procedure.	Board of Directors	04/10/24

# 12. Additional Information

Policy Status	Approved
Policy Owner	Principal
Next Review Date	3 years from Approval Date
Associated Internal Documents	Admission Policy and Procedure Assessment Policy and Procedure
	Assessment Review Policy and Procedure
	Sexual Assault and Sexual Harassment Policy and Procedure
	Staff Academic Integrity Policy and Procedure
	Student Academic Integrity Policy and Procedure

#### IONA TRINITY COLLEGE OF HIGHER EDUCATION

Student Appeals Policy and Procedure

Student Code of Conduct

Student Grievance and Appeal Form

**Higher Education Standards** 

Framework (Threshold

Standards) 2021

All standards under Section 2.4

National Code of Practice for Providers of Education

and Training to Overseas

Students 2018

Standards 3.3.7, 6.1.6, 10.1 to 10.4

and Instruments

Other Applicable Legislation Education Services for Overseas Students Act 2000

Higher Education Support Act 2003

Tertiary Education Quality and Standards Agency Act 2011

Warning - Document uncontrolled when printed! The current version of this document is maintained on the lona Trinity College of Higher Education's website at itc.edu.au

# 13. Appendix 1 | Overview of Grievance Management Process

